

Electronic Acknowledgement Receipt

EFS ID:	6397014
Application Number:	10604699
International Application Number:	
Confirmation Number:	1698
Title of Invention:	PROCESS FOR THE SELECTION AND EVALUATION OF INVESTMENT PORTFOLIO ASSET ALLOCATION STRATEGIES
First Named Inventor/Applicant Name:	James Allen Charnley
Customer Number:	03017
Filer:	David Josephs
Filer Authorized By:	
Attorney Docket Number:	W012 P00898-US1
Receipt Date:	05-NOV-2009
Filing Date:	11-AUG-2003
Time Stamp:	11:52:49
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$555
RAM confirmation Number	19554
Deposit Account	020900
Authorized User	JOSEPHS,DAVID R.

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		W12P898US1_Amendment_11-05-2009.pdf	89466 ef32b398d6de550e5ae91b81c403fd1f4d7e50ad	yes	13
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment/Req. Reconsideration-After Non-Final Reject		1	1	
	Claims		2	8	
	Applicant Arguments/Remarks Made in an Amendment		9	13	
Warnings:					
Information:					
2	Extension of Time	W12P898US1_extension_of_time.pdf	331683 bfb503a73bd1fc0ab3b6d0bc7b2207973f411933	no	2
Warnings:					
Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	30428 b63fc04aad19462e6dd7167992593a03d0d94c84	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			451577		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.